PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

YOR920030595US1 Attorney Docket No. CBLH/20140-00318-US First Inventor Stephen M. Gates et al. STRUCTURES AND METHODS FOR

(Only for new nonprovisional applications under 37 CFR 1.53(b))	Title	INTERGRATION OF ULTRALOW-K DIELECTRICS WITH IMPROVED RELIABILITY							
	Expres	ress Mail Label No.							
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application cor	MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450								
Tee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Testal Pages (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure Total Sheets 4. X Drawing(s) (35 U.S.C. 113) Total Sheets		7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. X Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (when there is an assignee) X Power of Attorney 11. English Translation Document (if applicable)							
5. Oath or Declaration a. X Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. X Application Data Sheet. See 37 CFR 1.76	3 1	12. Information Disclosure Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other:							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS									
X Customer Number: 30678		OR Correspondence address below							
Name									
Address	_								
City Stat	Zip Code								
Country Tele	phone	Fax							
Name (Print/Type) Matthew J. Mason		Registration No. (Attorney/Agent) 44,904							
Signature Math 9 M Date February 19, 2004									

PTO/SB/17 (10-03)
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EEE TOANGMITTAL		Complete if Known							
│ FEE TRANSMITTAL	Application Number					Not Yet Assigned			
for FY 2004		Filing Date				Concurrently Herewith			
			First Named Inventor				Stephen M. Gates et al.		
Effective 10/01/2003, Patent fees are subject to annual revision.		Examiner Name Not Yet Assigned				ssigned			
Applicant claims small entity status. See 37 CFR 1.27		Art Unit				N/A			
TOTAL AMOUNT OF PAYMENT (\$) 1,044.00			Attorney Docket No. YOR920030595US1 CBLH/20140-00318-US						
METHOD OF PAYMENT (check all that apply)	FEE CAL					ATION (co	ntinued)		
Check Credit Money Order Other None	3. ADDITIONAL FEES								
X Deposit Account:									
Deposit Account 50-0510	Large Fee	Entity Small Entity Fee Fee Fee							
Number 30-0310	Code		Code	(\$)		Fee Desc	ription	Fee Paid	
Deposit IBM CORPORATION	1051	130	2051	65	Surcharge -	- late filing fe	e or oath		
Account Name (YORKTOWN)		50	2052		=	rcharge – late provisional filing fee or cover			
The Director is authorized to: (check all that apply)	1052	50	2052	25	sheet.				
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English	n specification			
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a re	equest for exp			
Charge fee(s) indicated below, except for the filling fee	1804	920*	1804	920*	Requesting Examiner a	ng publication of SIR prior to			
to the above-identified deposit account.	1805	1,840*	1805	1,840*		publication of	of SIR after		
FEE CALCULATION	1251	110	2251	55		ction or reply within			
1. BASIC FILING FEE	1252	420	2252	210		or reply within			
Large Entity Small Entity	1253	950	2253	475	Extension fo	or reply within	third month		
Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension fe	or reply within	fourth month		
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension fo	or reply within	fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal				
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing				
1005 160 2005 80 Provisional filing fee	1451		1451			ion to institute a public use proceeding			
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55		to revive – unavoidable			
	1453	1,330	2453	665		n to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from	1501	1,330	2501	665	-	ssue fee (or reissue)			
Claims below Fee Paid	1502	480	2502	240	Design issu				
Total Claims 33 -20** = 13 x 18.00 = 234.00	1503		640 2503 320 Plant issue fee						
Claims 3 3 2 X	1460	130	1460	130	Petitions to the Commissioner				
Multiple Dependent	1807	50	1807	50	-		CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180			n Disclosure Stmt	<u> </u>	
Fee Fee Code (\$) Code (\$) Fee Description	8021	40	8021	40		g each patent assignment per times number of properties) 40.00			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	Filing a submission after final rejection					
1203 290 2203 145 Multiple dependent claims in excess or 3	1810	770	2810	385	For each ad	additional invention to be			
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	examined (37CFR 1.129(b)) Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20						est for expedited examination esign application			
and over original patent	Other fee (specify)								
SUBTOTAL (2) (5) 234.00 "or number previously paid, if greater, For Reissues, see above	*Redu	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00							
SUBMITTED BY (Complete (if applicable))									
Name (Print/Type) Matthew J. Mason	ration No ey/Agent)		,904			(11 applicable)) (202) 331-7111	-		
Signature White a m	17aoin	yragerii)		<u> </u>		Date	February 19, 20	004	
							<u>-</u>		